# Digital Signatures for Flows and Multicasts

by Chung Kei Wong and Simon S. Lam in IEEE/ACM Transactions on Networking, August 1999

## Digital Signature

- □ Examples: RSA, DSA
- Provide authenticity, integrity and nonrepudiation
- □ How to sign/verify?
  - $\circ$  signing key  $k_s$ , verification key  $k_v$ , message digest h(m)
  - $\circ$  ignatur = ig ( ( ), s)
  - $\circ$  verify(signature, h(m),  $k_v$ ) = True/False
- Signing & verification operations are slow compared to symmetric key operations

### **Motivation**

- □ Traditional network applications (circa 1998)
  - message-oriented unicast,
    e.g., email, file transfer, client-server
- Emerging network applications
  - o flow-oriented, e.g., audio, video, stock quotes
  - multicast, e.g., teleconference, software distribution
- □ Problem: How to sign efficiently?
  - high-speed transmissions
  - o real-time generated flows
  - delay-sensitive packet flows

## All-or-nothing flows

- The signer generates a message digest of the entire flow (file) and signs the message digest
- But most Internet applications do not create all-or-nothing flows
  - o a flow is sent as a sequence of packets
  - o each packet is used as soon as it is received

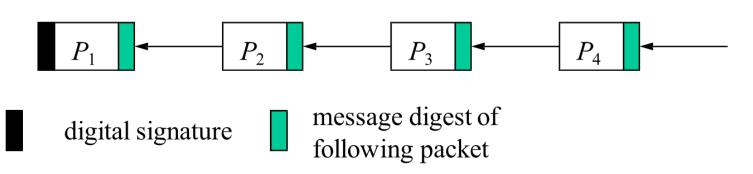
## Sign-each Approach

- A flow is a sequence of data packets
- Sign each packet individually
- □ Inefficient: one signing/verification operation per packet
- □ Rates on a Pentium-II 300 MHz using 100% processing time (with 512-bit modulus)

Packet	Rate (packets/sec)				
size	Signing		Verification		
(bytes)	RSA	DSA	RSA	DSA	
512	78.8	176	2180	128	
1024	78.7	175	1960	127	

#### Prior work on signing digital streams

- □ [Gennaro and Rohatgi 1997]
- One signing/verification op for an entire flow—only the first packet is signed
  - Each packet contains authentication info for next
- Verification of each packet depends on previous ones
  - Reliable delivery required

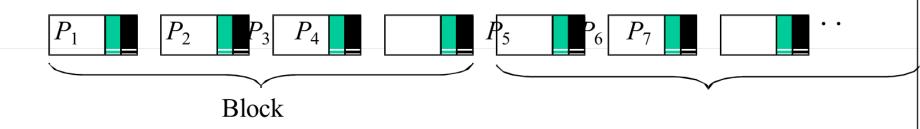


## Flow Signing Problem

- Each packet may be used as soon as it is received
- Subsequences of a flow are received and used
  - best-effort delivery, e.g., UDP, IP multicast
  - o different needs/capabilities, e.g., layered video
- How to efficiently sign flows with each packet being individually verifiable?

## Our Approach: Chaining

- Partition a flow into blocks of packets
  - Sign the digest of each block instead of each packet individually
- □ Each packet carries its own authentication information to prove it is in the block
  - Authentication info provided by chaining

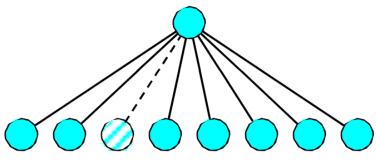


Block signature

Chaining info

## Star Chaining - Signing

Block digest  $D_{1-8} = h(D_1, ..., D_8)$ 



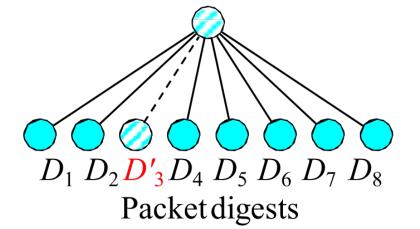
Packet digests  $D_1$   $D_2$   $D_3$   $D_4$   $D_5$   $D_6$   $D_7$   $D_8$ 

- $\square$  Block signature =  $sign(D_{1-8})$
- Packet signature for packet  $P_3$ : sign( $D_{1-8}$ ),  $D_1$ ,  $D_2$ ,  $D_4$ , ...,  $D_8$
- Chaining overhead is O(block size)

## Star Chaining - Verification

 $\square$  Verifying first received packet (say  $P_3$ )

Block digest 
$$D'_{1-8} = h(D_1, D_2, D'_3, D_4, ..., D_8)$$



- $\circ$  verify( $D'_{1-8}$ , sign( $D_{1-8}$ ))
- Caching of verified nodes
  - no verification op for other packets in the block

## Tree Chaining - Signing

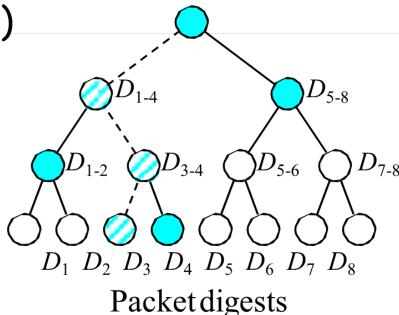
□ [Merkle 1989]

Block digest  $D_{1-8} = h(D_{1-4}, D_{5-8})$ 

□ Block signature =  $sign(D_{1-8})$ 

□ Packet signature for packet  $P_3$ : sign( $D_{1-8}$ ),  $D_4$ ,  $D_{1-2}$ ,  $D_{5-8}$ 

Chaining overhead is O(log(block size))



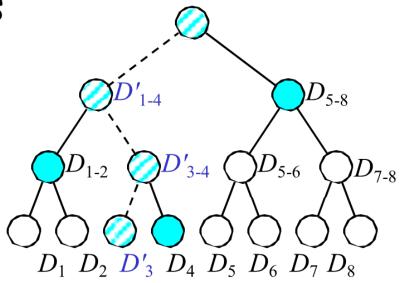
## Tree Chaining - Verification

- $\square$  Verifying first received packet (say  $P_3$ )
  - $\circ$  verify( $D'_{1-8}$ , sign( $D_{1-8}$ ))

Block digest  $D'_{1-8} = h(D'_{1-4}, D_{5-8})$ 

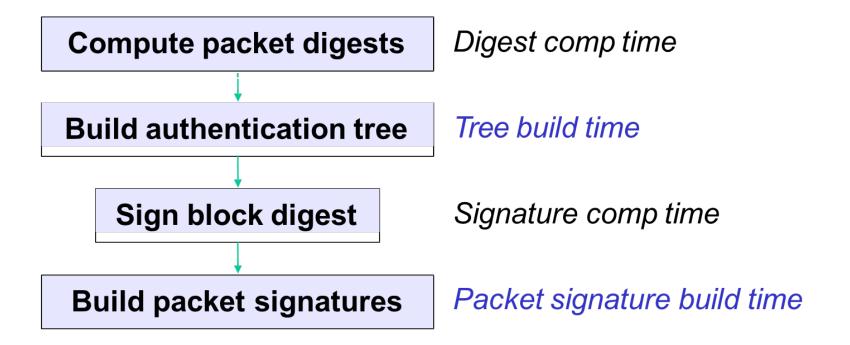
Caching of verified nodes

 no verification op for other packets in the block



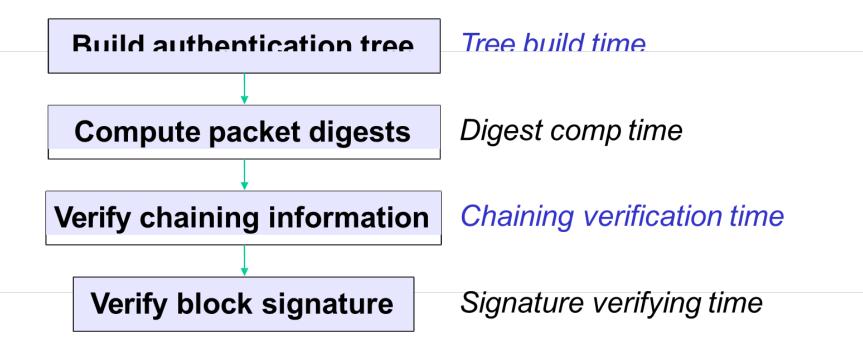
Packet digests

#### Chaining Technique: Signer Overhead



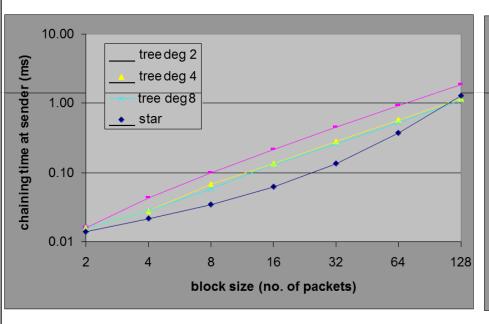
Chaining time = Tree build time + Packet signature build time

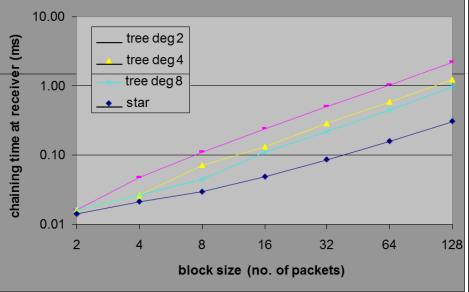
#### Chaining Technique: Verifier Overhead



Chaining time = Tree build time + Chaining verification time

## Chaining Time Overheads



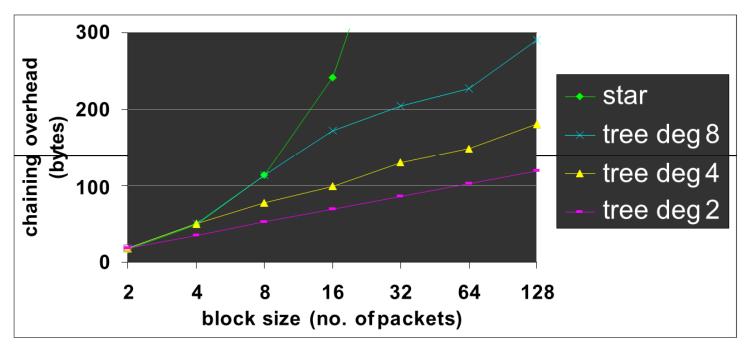


#### at sender

#### at receiver

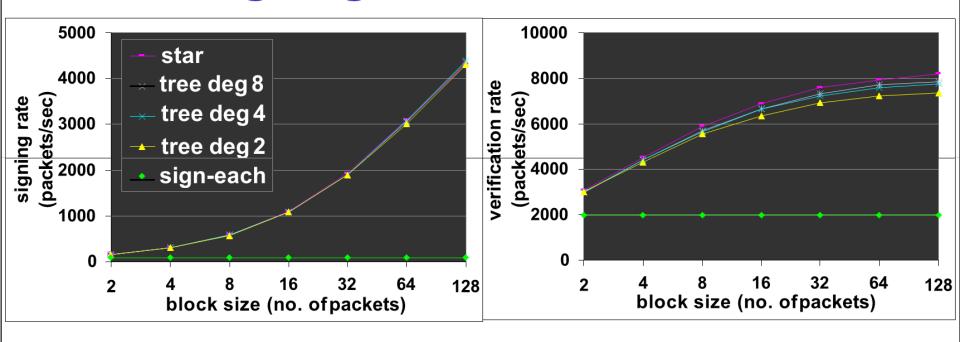
- Overheads increase linearly with block size (in log scale)
- Much smaller than signing/verification times

## Chaining Overhead Size



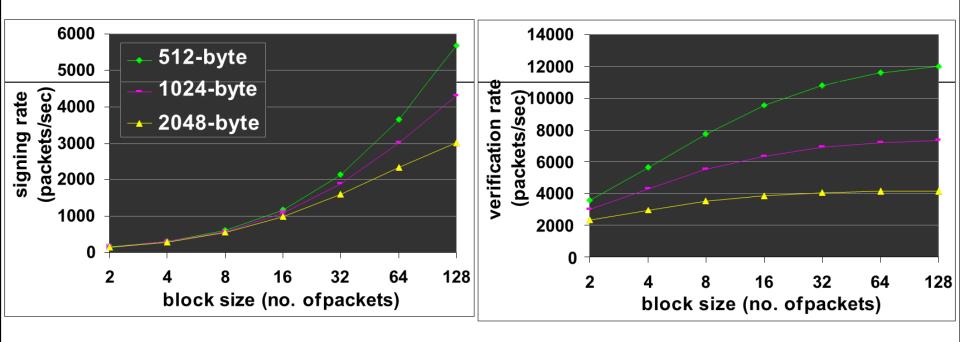
- □ Smallest when tree degree is 2
- □ Increases linearly with logarithm of block size
- Packet signature = block signature + chaining overhead

## Flow Signing/Verification Rates



- □ 1024-byte packets, RSA with 512-bit modulus
- □ Increases with block size
- Varies only slightly with tree degree
  - we recommend degree 2 tree chaining

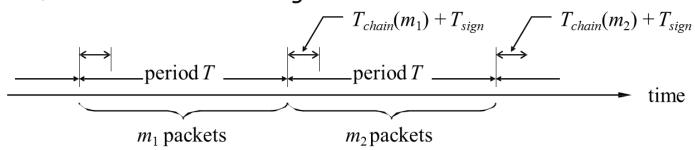
## Flow Signing/Verification Rates



□ Degree two tree, RSA with 512-bit modulus, three different packet sizes

### Real-time Generated Flows

- □ Fixed block size for non-real-time generated flows
- □ Fixed time period T for real-time generated flows
- □ Bounded delay signing since for any packet  $delay \le T + T_{chain} + T_{sign}$



- $\Box$  T should be larger than  $T_{chain}$ +  $T_{sign}$
- $\Box$  delay cannot be smaller than  $2(T_{chain} + T_{sign})$

## Selecting a Signature Scheme

- RSA: signing rate not high enough
- DSA: both rates not high and verification rate < signing rate</p>
  - In a group, receivers may have widely different resources, e.g., PDAs, notebooks, desktops
- We proposed several extensions to FFS [Feige, Fiat and Shamir 1986]

## FFS Signer

- □ choose two large primes p and q□ compute modulus n = pq□ choose integers  $V_1, ..., V_k$   $S_1, ..., S_k$ such that  $S_i^2 = V_i^{-1} \mod n$ □ signing key is  $\{S_1, ..., S_k, n\}$
- $\square$  verification key is  $\{v_1, ..., v_k, n\}$

## How to Sign Message m

- $\square$  choose t random integers,  $r_1$ , ...,  $r_t$ , between 1 and n
- $\square$  compute  $x_i = r_i^2 \mod n$ , for i = 1, ..., t
- $\square$  compute message digest  $h(m, x_1, ..., x_t)$

where function  $h(\cdot)$  is public knowledge and produces a digest of at least  $k \times t$  bits

let  $\{b_{ij}\}$  be the first  $k \times t$  bits of the digest

 $\square$  compute  $y_i = r_i \times (s_1^{b_{i1}} \times ... \times s_k^{b_{ik}}) \mod n$ 

for 
$$i = 1, ..., t$$

signature of m consists of  $\{y_i\}$  and  $\{b_{ij}\}$  for i = 1, ..., t and j = 1, ..., k

#### How to Verify Signature of Message m

□ signature of m  $\{y_i\}$  and  $\{b_{ii}\}$  for i = 1, ..., t and j = 1, ..., k $\square$  compute  $z_i = y_i^2 \times (v_i^{b_{i1}} \times ... \times v_i^{b_{ik}}) \mod n$ for i = 1, ..., tit can be shown that  $z_i$  is equal to  $x_i$  at the signer signature is valid if and only if the first x bits of  $(, _1, ..., _t)$  are equal to the  $\{, _{ij}\}$ received in signature

## FFS(k,t)

- security level increases with
  - size of modulus (or size of primes and )
  - value of product kt
- $\square$  key size is  $(k+1) \times |n|$  assuming  $|n| = |v_i|$  or  $|s_i|$  in bits
- □ signature size is  $t \times |n| + k \times t$  bits minimized for t=1

## FFS key and signature sizes

FFS SIGNING/VERIFICATION KEY AND SIGNATURE SIZES (BYTES) WITH 512-BIT MODULUS

	t = 1		t = 2		t=4	
	key	sig	key	sig	key	sig
kt = 64	4160	72	2112	136	1088	264
kt = 128	8256	80	4160	144	2112	272

For a fixed *kt* product, signature size is minimized for *t*=1, but key size is maximized

## eFFS Signature Scheme

- □ Several extensions to FFS [Feige, Fiat and Shamir 1986]
  - Faster signing
    - Chinese remainder theorem (crt)
    - Precomputation (4-bit, 8-bit)
  - Faster verification
    - · Small verification key (sv-key) [Micali & Shamir 1990]
  - Adjustable and incremental verification
    - · multilevel signature
    - · lower security level with less processor time at receiver
    - security level can be increased later by more processor time

## eFFS extension (1)

- Thinese remainder theorem instead of  $y_i = r_i \times (s_1^{bi1} \times ... \times s_k^{bik}) \mod n$  signer computes  $a_i = r_i \times (s_1^{bi1} \times ... \times s_k^{bik}) \mod p$   $b_i = r_i \times (s_1^{bi1} \times ... \times s_k^{bik}) \mod q$   $y_i = ((a_i b_i) \times q \times q_p + b_i) \mod n$  where  $q_p^{-1}$  denotes  $q^{-1}$  mod p,
  - multiplications in mod p and mod q faster than in mod n
- $\square$  Only signer knows p and q

## eFFS extension (2)

□ small verification key [Micali & Shamir]:

use first k prime numbers that satisfy  $s^2 = p^{-1} \mod n$  where p is prime and s is an integer

□ faster verifying time and smaller key size

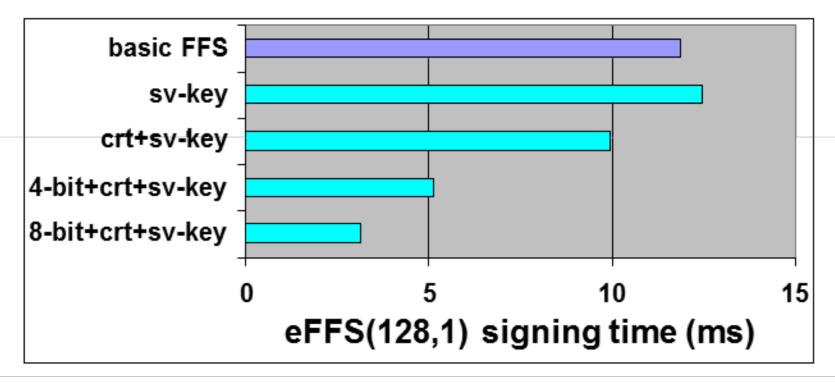
## eFFS extension (3)

- To compute  $y_i = r_i \times (s_1^{b_{i1}} \times ... \times s_k^{b_{ik}}) \mod n$ for i = 1, ..., t
- $\square$  precomputation of  $(S_1^{b_{i1}} \times ... \times S_k^{b_{ij}})$

additional memory of 31 KB and 261 KB required for 4-bit and 8-bit precomp respectively

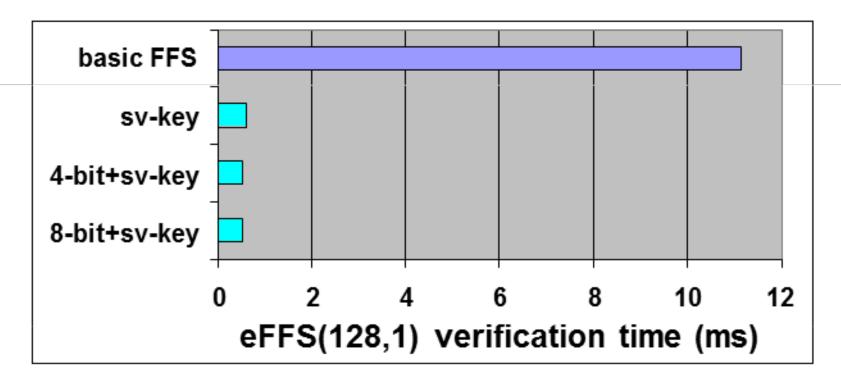
only minor improvement at verifier when used with small v-key

## eFFS - Signing



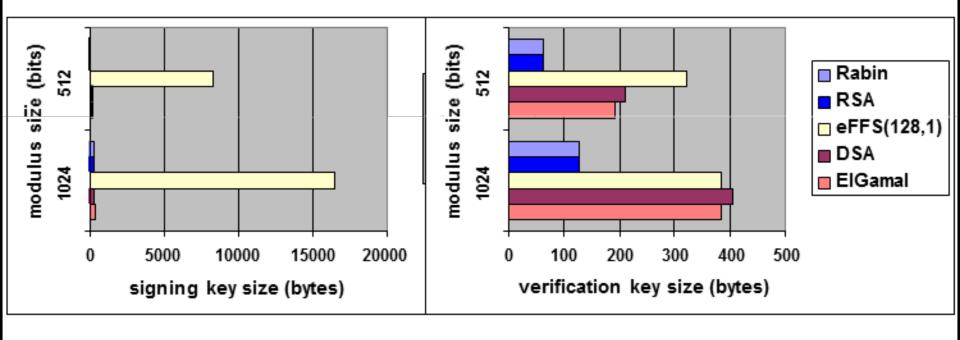
- sv-key does not reduce signing time
- crt reduces signing time by 10-20%
- 8-bit + crt reduces signing time by 60-70%

### eFFS - Verification



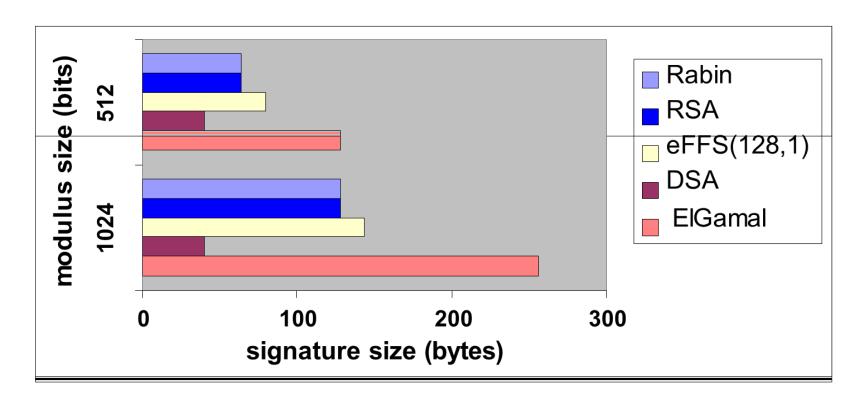
- sv-key reduces verification time by 90%
- 4-bit or 8-bit slightly reduces verification time

## eFFS Key Size



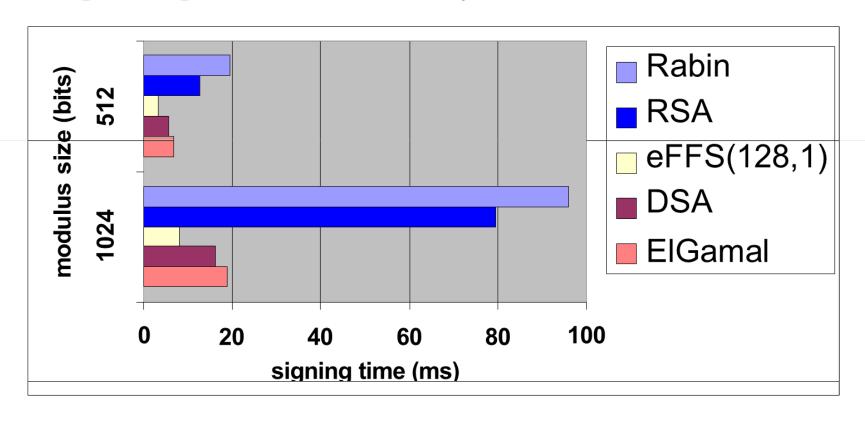
- □ Large signing key 8000-17000 bytes
  - o private to signer
- Verification key 300-400 bytes

## eFFS Signature Size



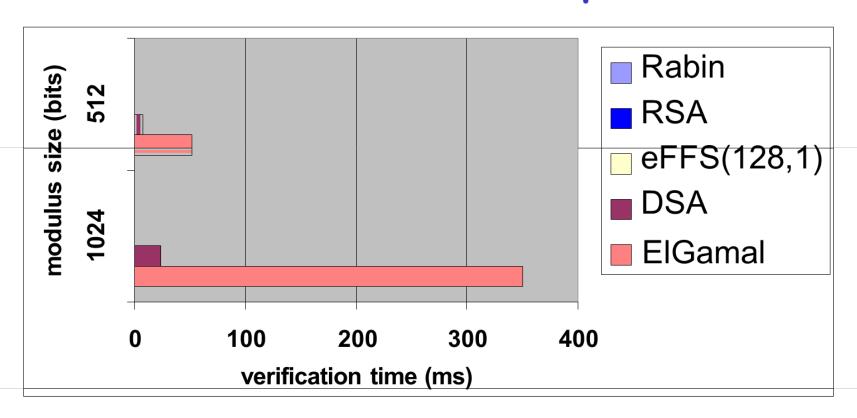
 Signature size comparable to RSA and Rabin

## Signing Time Comparison



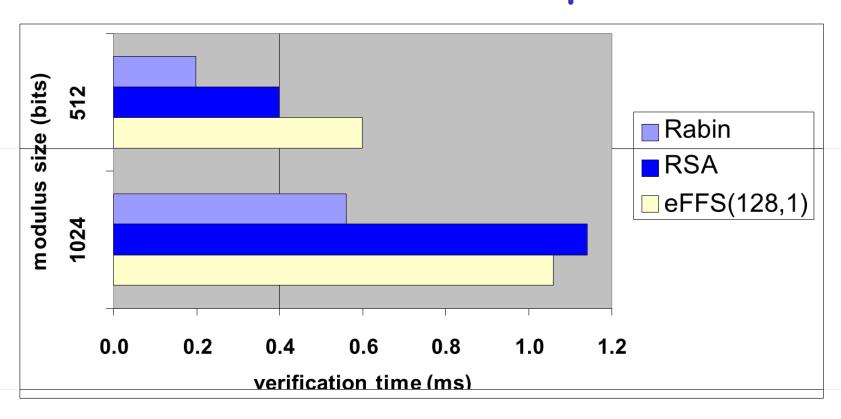
- □ 8-bit + crt + sv-key extensions
- □ eFFS has the smallest signing time

## Verification Time Comparison



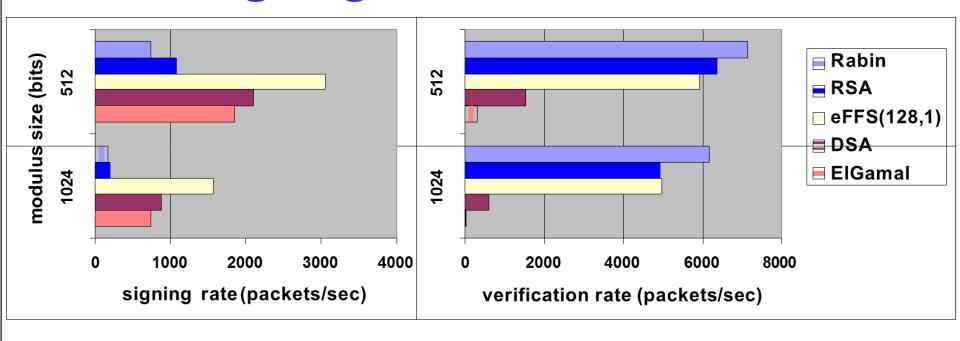
- DSA and ElGamal verification times very large
- □ Rabin, RSA and eFFS too small to see

## Verification Time Comparison



 eFFS verification time comparable to RSA (Rabin most efficient verification)

## Flow Signing/Verification Rates



- 1024-byte packets, block size 16, degree two tree chaining
- eFFS has highest signing rate
- eFFS verification rate comparable to RSA

# eFFS Adjustable and Incremental Verification

- Security level of eFFS(k,t) depends on modulus size and product k
  - o same kt and modulus size ~ same security level
- Adjustable and incremental verification
  - $\circ$  using t > 1 with additional info in signature
  - o up to t steps
  - adjustable and incremental: receiver verifies steps one by one

## <u>eFFS Adjustable and</u> <u>Incremental Verification (cont.)</u>

- t-level signature includes  $\{x_i\}$  for i = 2, ..., tnote that  $\{i\}$  can be computed from origina signature together with verification key
- rerify a t-level signature at security level  $l \le t$ , (1) compute  $z_i = y_i ? (v_1 b_{i1} \times ... \times v_k b) \pmod{n}$  for i = 1, ..., l, (2) verify that the first  $k \times t$  bits of  $h(m, z_1, x_2, ..., x_t)$  are equal to the  $\{b_{ij}\}$  received, and  $z_2, ..., z_l$  are equal to  $x_2, ..., x_l$

# eFFS Adjustable and Incremental Verification (cont.)

- $\square$  increase security level from  $I_1$  to  $I_2$ ,
  - (1) compute  $z_i = y_i^2 \times (v_1^{b_{i1}} \times ... \times v_k^{b_i}) \pmod{n}$  for  $i = l_1 + 1, ..., l_2$ ,
  - (2) verify that  $z_{l_1+1}, ..., z_{l_2}$  are equal to  $x_{l_1+1}, ..., x_{l_2}$

## Incremental signing times

eFFS t-Level Signature Signing Times (Milliseconds)

	kt produci			
	kt = 32	kt = 64	kt = 128	
1-level signature	1.47	2.02	3.14	
2-level signature		2.87	(3.98)	
4-level signature			5.67	

2-level signature takes less time to sign than two 1-level signatures

## Incremental verification times

eFFS Incremental Verification Times (Milliseconds) for kt = 128. (a) 2-Level Signature. (b) 4-Level Signature.

То	level 1	level 2
From level 0	0.42	0.81
From level 1		0.40

(a)

То	level 1	level 2	level 3	level 4
From level 0	0.34	0.63	0.93	1.22
From level 1		0.30	0.60	0.89
From level 2			0.30	0.60
From level 3				0.31

(b)

#### **Conclusions**

- Flow signing/verification procedures
  - o much more efficient than sign-each
  - o small communication overhead
  - can be used by a sender that signs a large number of packets to different receivers
    - there is no requirement that the packets belong to a flow but if they do, verification is also more efficient
- eFFS digital signature scheme
  - most efficient signing compared to RSA, Rabin, DSA, and ElGamal
  - highly efficient verification and comparable to RSA (only Rabin is more efficient)
  - o adjustable and incremental verification

